

11/13/00

19613 U.S. PTO

11-14-00

A

UTILITY PATENT APPLICATION TRANSMITTAL

only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 09792 9

First Named Inventor or Application Identifier

Keiichi Nito

Express Mail Label No: EL654782545US

19613 U.S. PTO
09/11/00

11/13/00

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 157
2. ☒ Drawing(s) (35USC 113) Total Pages 39
3. ☒ Declaration and Power of Attorney Total Pages 4
 - a. ☒ Unexecuted(original or copy)
 - b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)
 - i. ☐ [Note Box 4 Below]
DELETION OF INVENTOR(S)
Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application, Status still proper and desired
12. ☒ Certified copy of Japanese priority applications Nos. P11-322184 filed November 12, 1999, P11-322185 filed November 12, 1999, P11-322186 filed November 12, 1999, P11-322187 filed November 12, 1999 and P2000-319879 filed October 19, 2000

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS	20	162	142	18.00	2,556.00
INDEPENDENT CLAIMS	03	14	11	80.00	880.00
		ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			0.00
				TOTAL FEE	\$4,146.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$4,146.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: David R. Metzger
SONNENSCHN NATH & ROSENTHAL
P.O. Box #061080
Wacker Drive Station, Sears Tower
Chicago, IL 60606-1080
Customer ID #26263
Telephone (312) 876-8000 - Fax (312) 876-7934

SIGNATURE

David R. Metzger (Reg. No. 42,919)

Date: November 13, 2000

U-11

11/13/00
jc813 U.S. PTO

Sonnenschein
SONNENSCHN NATH & ROSENTHAL

David R. Metzger
312/876-2578

8000 Sears Tower
233 South Wacker Drive
Chicago, IL 60606
312.876.8000
312.876.7934 fax
www.sonnenschein.com

Chicago
Kansas City
Los Angeles
New York
San Francisco
St. Louis
Washington, D.C.

November 13, 2000

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
"LIGHT MODULATION APPARATUS AND IMAGE PICKUP
APPARATUS, AND DRIVE METHOD THEREOF"
Applicant(s): Keiichi Nito, et al.
Attorney Docket No. 09792909-4679

Dear Sir

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,
including 162 claims (14 independent), 39 sheets of drawings (Figs. 1A-38) and \$4,146.00
filing fee on behalf of

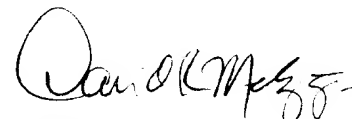
KEIICHI NITO and TORU UDAKA

and request that the application be assigned a serial number and filing date pursuant to the
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:


David R. Metzger

Enclosures

EXPRESS MAIL #EL654782545US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL654782545US

Date of Deposit: November 13, 2000

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Keiichi Nito, et al.

Attorney Docket No. 09792909-4679

\$4,146.00 Filing Fee (162 claims-14 independent)

- deposit account #19-3140

Drawings (39 sheets - Figs. 1A-38)

Unexecuted Declaration

Letter Under Rule 37

Preliminary Amendment

Return postcard



Signature of Person Mailing Application and Fees